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DEPT FOR T, VCI AND EUR/PRA
DOE FOR NNSA/NA-24
CIA FOR WINPAC
JCS FOR J5/DDGSA
SECDEF FOR OSD(P)/STRATCAP
NAVY FOR CNO-N5JA AND DIRSSP
AIRFORCE FOR HQ USAF/ASX AND ASXP
DTRA FOR OP-OS OP-OSA AND DIRECTOR
NSC FOR LOOK
DIA FOR LEA

E.O. 12958: DECL: 12/19/2019

TAGS: [KACT](#) [MARR](#) [PARM](#) [PREL](#) [RS](#) [US](#) [START](#)

SUBJECT: START FOLLOW-ON NEGOTIATIONS, GENEVA
(SFO-GVA-VII): (U) U.S.-PROPOSED JOINT DRAFT TEXT OF THE
PROTOCOL, DECEMBER 19, 2009 (CABLE 8 OF 12 CABLES)

REF: A. GENEVA 1208 (SFO-GVA-VII-162 CABLE 1 OF 12 CABLES)
[1](#)B. GENEVA 1209 (SFO-GVA-VII-162 CABLE 2 OF 12 CABLES)
[1](#)C. GENEVA 1210 (SFO-GVA-VII-162 CABLE 3 OF 12 CABLES)
[1](#)D. GENEVA 1211 (SFO-GVA-VII-162 CABLE 4 OF 12 CABLES)
[1](#)E. GENEVA 1212 (SFO-GVA-VII-162 CABLE 5 OF 12 CABLES)
[1](#)F. GENEVA 1213 (SFO-GVA-VII-162 CABLE 6 OF 12 CABLES)
[1](#)G. GENEVA 1214 (SFO-GVA-VII-162 CABLE 7 OF 12 CABLES)

Classified By: A/S Rose E. Gottemoeller, United States
START Negotiator. Reasons: 1.4(b) and (d).

[1](#)1. (U) This is SFO-GVA-VII-162.

[1](#)2. (S) The text at Paragraph 3 is the working document from which the U.S.-Proposed Joint Draft Text of the Protocol to the Treaty Between the United States of America and the Russian Federation on Measures for the Further Reduction and Limitation of Strategic Offensive Arms dated December 19, 2009 will be prepared. It establishes the baseline for the next round of the negotiations. Because of the length of the document, text will be sent as separate cables. This is Cable 8 of 12 cables.

[1](#)3. (S) Begin text:

Section VI. Notifications Concerning Inspections and Exhibitions

[1](#)1. ((5))2 Notification containing the initial lists of inspectors ((monitors))2 and aircrew members((.))2 ((shall be submitted no later than 30 days after entry into force.))1 ((Such a notification shall include: for each inspector or

aircrew member, first name, patronymic or middle name, and last name; day, month and year of birth; place of birth (city, oblast or state and country); as well as passport number, if available. (FORMAT 16)))2

12. ((1))2 Notification of the standing diplomatic clearance number for inspection airplanes shall be provided no later than 30 days after entry into force of the Treaty, for the period until the end of the current calendar year, and subsequently no less than 30 days prior to the beginning of each following calendar year. ((They shall include: the standing diplomatic clearance number and the calendar year. (FORMAT 12)))2

13. ((9))2 Notification of an intention to conduct a ((Type One or Type Two))1 inspection pursuant to paragraphs ((3))1 ((TBD))2 of Article XI of the Treaty ((and Section VI and VII of Part Five in the Protocol to the Treaty,))1 shall be provided no less than ((16))2 ((72))2 hours in advance of the estimated time of arrival of the inspection team at the point of entry from outside the territory of the inspected Party. ((The designation of the inspection site shall be four hours after the estimated time of arrival. Such notification))1 ((The notifications))2 shall include the point of entry, the ((estimated))1 ((date and))2 time of arrival at the point of entry, and the names and passport numbers of inspectors and aircrew members. (((FORMAT 20)))2

14. ((Notification of an intention to participate in an exhibition pursuant to paragraph 5 of Article XI of the Treaty and Section VIII of Part Five of the Protocol to the

Treaty, shall be provided no less than 72 hours in advance of the estimated time of arrival of the inspection team at the point of entry from outside the territory of the exhibiting Party.))1

15. ((6))2 Notification of amendments to the lists of inspectors and aircrew members ((.))1 in accordance with Part Five of the Protocol to the Treaty. ((Said notification may be sent no more than once in each 30-day period.))1 ((Such notifications shall include:

(a) the list or lists to which amendments shall be made.

(b) if any inspector, monitor or aircrew member shall be excluded from the lists, first name, patronymic or middle name, and last name; day, month and year of birth; place of birth (city, oblast or state and country) of the excluded person; as well as passport number, if available; and

(c) for each inspector, monitor or aircrew member to be included in the lists, first name, patronymic or middle name, and last name; day, month and year of birth; place of birth (city, oblast or state and country); as well as passport number, if available. (FORMAT 17)))2

16. ((7))2 Notification of agreement with or objection to ((each))2 proposed inspector((s))1 or aircrew member((s))1 proposed ((by the other party))2 for inclusion on the lists provided for in paragraph ((1 or))1 5 of ((this))2 Section ((V of Part FOUR of the Protocol to the Treaty,))1 shall be provided no ((less))1 ((later))2 than ((20))1 ((30))2 days after ((receipt of said notification.))1 ((entry into force of the Treaty or, for subsequent amendments to these lists, no later than 30 days after receipt of the notification provided in accordance with paragraph 6 of this Section, or objection to an inspector, monitor or aircrew member who is presently on the list of inspectors, monitors or aircrew members. Such notifications shall include:

a. the appropriate list or lists;

b. for each inspector, monitor and aircrew member, first name, patronymic or middle name, and last name; day, month and year of birth; place of birth (city, oblast or state and country); as well as passport number, when

possible; and

c. for each inspector, monitor or aircrew member, agreement with or objection to that person. (FORMAT 18))2

¶7. ((Notification of objection to inspectors or aircrew members who are currently on the list of inspectors or aircrew members.))1

¶8. Notification containing the agreed list of inspectors and aircrew members. ((The notification shall include: for each inspector or aircrew member, first name, patronymic or middle name, and last name; day, month and year of birth; place of birth (city, oblast or state and country); as well as passport number, if available. (FORMAT 19))2

¶9. ((2))2 Notification containing flight plan data for an inspection airplane((.))1 ((shall be provided no less than

six hours in advance of the planned time of departure of such an airplane from the last airfield prior to its entry into the airspace of the inspected Party. They shall include: the point of entry, flight route, and data and time of planned entry into the airspace of the inspected Party. (FORMAT 13))2

¶10. ((3))2 Notification of ((a confirmation of a))2 flight plan ((approval))1 for an inspection airplane ((shall be provided by the inspected Party))2 no less than 48 ((three))2 hours ((prior to the initiation of an inspection flight.))1 ((in advance of the planned time of departure of such an airplane from the last airfield prior to its entry into the airspace of the inspected Party. (FORMAT 14))2

¶11. ((Notification, at least five days in advance, of intention to conduct a special START Follow-on flight.))1

¶12. ((4))2 Notification of the change to a flight route ((no later than 24 hours after the change.))1 ((of inspection airplanes to or from a point of entry established on the territory of a Party shall be provided by that Party no less than 30 days prior to the date of implementation of such a change. They shall include: the point of entry, the changed flight route and the date of implementation of such a change. (FORMAT 15))2

¶13. ((Notification, no later than 48 hours after the determination, of the determination of agreed geographic coordinates of a reference point at a point of entry.

¶14. Notification, at least 48 hours prior to a change, of the intention to change a reference point for satellite system receivers at a point of entry.

¶15. Notification, no later than five days after a change, of a change to the boundary of a facility specified on a site diagram.))1

((10. Notifications of the determination in accordance with Part Five of this Protocol of agreed geographic coordinates of a reference point used at a point of entry for operability checks of satellite system receivers shall be provided by the inspected Party no later than 30 days after the entry into force of the Treaty. The notifications shall include the point of entry, the date of determination of the agreed geographic coordinates, the agreed geographic coordinates of each reference point and a physical description of each reference point. (FORMAT 21)

Comment: The inspected Party shall provide supplementary messages on changes to the references points used for operability checks of satellite system receivers.

¶11. Notification of a change to the boundaries of an inspection site. Such notification shall be provided after agreement within the framework of the BCC on changes to the boundaries of the inspection site. (FORMAT 22)

¶12. Notification of intent to conduct an exhibition of strategic offensive arms. Such a notification shall be given no less than 15 days in advance of the planned date of the exhibition. The notification shall include the date of the initiation and location for the exhibition, the point of

entry, type and, if applicable, category or version of strategic offensive arms subject to exhibition, the distinguishing features and technical characteristics of new types of SOA, the results of conversion or elimination of the first item of a specified type. (FORMAT 23)

¶13. Notification of agreement to participate in an exhibition of strategic offensive arms. Such a notification shall be given no less than 72 hours in advance of the planned date of the exhibition. The notification shall include the point of entry, the date and estimated time of arrival at the point of entry and the names of inspectors and aircrew members. (FORMAT 24)
Notifications provided by the inspection team leader

¶14. Notification of designation of type and site of inspection. The notification shall be provided at the point of entry no later than the time specified in the notification in accordance with paragraph 9 of Section VI of this Part. Such a notification shall be provided no earlier than four hours and no later than 24 hours after arrival at the point of entry. (FORMAT 25)

¶15. Notification of cancellation of inspection. Such a notification shall be provided at the point of entry or at the inspection site in those cases, when the inspection may not be conducted in accordance with Part Five of the Protocol to the Treaty. (FORMAT 26)

¶16. Notification of designation of an item intended for inspection during a Type One Inspection. Such a notification shall be given at the inspection site after completion of pre-inspection procedures. (FORMAT 27))2

Section VII. Notifications Concerning Additional Messages and the BCC

¶1. ((Notification of Bilateral Consultative Commission - request for a meeting.))1

((Notification of convening of a session of the Bilateral Consultative Commission shall be provided in accordance with Section II of Part Six of the Protocol to the Treaty. (FORMAT 28))2

¶2. ((Notification of Bilateral Consultative Commission - Reply to Request for a Meeting.))1

((Notification of an answer to a request to convene a session of the Bilateral Consultative Commission shall be provided in accordance with Section II of Part Six of the Protocol to the Treaty. (FORMAT 29))2

¶3. Notification of Bilateral Consultative Commission - Additional information. (((FORMAT 30)))2

¶4. Notification containing clarification, correction, or modification of a notification. (((FORMAT 28)))2

¶5. Notification of an additional treaty message. (((FORMAT 29)))2

¶6. ((Notification containing a request for clarification of a notification.))1

((Strategic Offensive Arms of New Types and New Kinds

¶1. Notification, no less than 48 hours in advance, of the planned departure from a production facility of the first prototype ICBM or SLBM.

¶2. Notification, no later than five days after the decision has been made, of the basing mode of a new type of ICBM.

¶3. Notification of a decision to forego deployment of an ICBM of a new type as an ICBM for mobile launchers of ICBMs, for a new type of ICBM that had been considered to be an ICBM for mobile launchers of ICBMs pursuant to a notification provided in accordance with paragraph 2 of this Section but that had not been flight-tested from a mobile launcher of ICBMs, and that such an ICBM is not subject to the provisions for ICBMs for mobile launchers of ICBMs of the Treaty.

¶4. Notification, no later than five days after the decision has been made, that a prototype ICBM or SLBM shall be considered to be a new type.

¶5. Notification, no later than five days after the decision has been made, of the cessation of development of a prototype ICBM or SLBM of a new type and of the intention not to deploy such ICBMs or SLBMs.

¶6. Notification, no less than 48 hours in advance, of the departure of a mobile launcher of prototype ICBMs from its production facility.

¶7. Notification, no later than five days after the exit, of the exit of the first heavy bomber of a new type from the shop, plant, or building where its assembly was performed.

¶8. Notification, no later than five days after the arrival, of the arrival of the first heavy bomber of a new type, new category of a type, or new variant of a category and type at the first air base at which any such heavy bomber has begun to be based.

¶9. Notification of exit of first long-range nuclear ALCM of a new type from a production facility.

¶10. Notification, no less than six months in advance of the arrival, of the planned arrival of a nuclear armament of a new type at the first air base for deployed heavy bombers or nuclear armaments weapons storage area associated with such an air base, at which it is to be located.

¶11. Notification, no less than five days in advance, of first flight test of a nuclear armament from a bomber of a type from none of which a nuclear armament has previously been flight-tested.

¶12. Notification, no later than 48 hours after, of the arrival of the first nuclear arm of a new type at the first air base for heavy bombers.

¶13. Notification of the development of a new kind of strategic offensive arm, no later than 30 days after the first flight test of such an arm, unless issues concerning

such an arm have been raised earlier within the framework of the Bilateral Consultative Commission.

(())1 Proposed by the United States
(())2 Proposed by the Russian Federation

End text.

¶4. (U) Gottemoeller sends.
GRIFFITHS